

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Felt et al.

Group Art Unit: 3761

Serial No. 10/681,035

Examiner: Not Assigned

Filed: October 8, 2003

Confirmation No.: 2719

For:

EXTRACORPOREAL BLOOD

PROCESSING METHODS AND APPARATUS

CERTIFICATE OF MAILING

II hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to: MAIL STOP MISSING PARTS, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail Cert No. EV 412 172 128 US

March 18, 2004

Date

INFORMATION DISCLOSURE STATEMENT

Mail Stop Missing Parts Commissioner of Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

The Examiner is respectfully requested to consider the following references which may qualify as prior art. For the Examiner's convenience, the references are listed on the attached Patent and Trademark Office form PTO-1449. As this application was filed after June 30, 2003, Applicants only include copies of foreign patent documents and non-patent references. *See*, <u>Disclosure Statements Filed After June 30, 2003</u>, Off. Gaz. Pat. Off., 8/5/2003.

The references and information provided herewith are cited in a spirit of forthrightness and cooperation to enable the applicants to obtain that measure of protection for the invention to which there is entitlement. However, no representation is made that the listed art actually qualifies as prior art under the patent statute and the mere

use of PTO-1449 is not an admission that all listed references are prior art. No

representation is made that applicants know of the best art.

References listed in PTO Form 1449 submitted herewith which do not specify the

month of publication have a year of publication sufficiently earlier than the effective U.S.

filing date with any foreign priority date so that the particular month of publication is not in

issue.

The Examiner is also requested to consider commonly owned U.S. Patent

Application No. 10/680,950, which may be considered related to this case. A copy of this

pending application is provided herewith. Applicants submit these materials in a spirit of

forthrightness and cooperation to enable the applicants to obtain that measure of

protection for the invention to which there is entitlement. No representation is made that

this reference actually qualifies as prior art under the patent statute.

It is believed that no fee is required for this submission. If this is incorrect, however,

please deduct the appropriate fee for this submission and any extension of time required

from Deposit Account No. 07-1969.

Respectfully submitted,

Stephen B. Barone

Rea. No. 53.968

GREENLEE, WINNER AND SULLIVAN, P.C.

5370 Manhattan Circle, Suite 201, Boulder, CO 80303

Telephone: (303) 499-8080; Facsimile: (303) 499-8089 Attorney Docket No. 109-03

nk: March 18, 2004

2

Form BT 1449		
ATTY DOCKET NO. 109-03	SERIAL NO. 10/681,035	FILING DATE Oct. 08, 2003
APPLICANT Felt et al.	CONFIRMATION NO. 2719	GROUP 3761

U.S. PATENT DOCUMENTS

			T. ATENT BOOCHE			
Exmr Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	3,655,123	4/11/72	Judson et al.			
	3,709,222	1/09/73	DeVries			
	3,946,731	3/30/76	Lichtenstein			
	4,024,483	5/17/77	Tomczak et al.			
	4,080,966	3/28/78	McNally et al.			
	4,086,924	5/02/78	Latham, Jr.			
	4,146,172	3/27/79	Cullis et al.			
	4,148,314	4/10/79	Yin	128	214	10/18/76
	4,174,637	11/20/79	Mulzet et al.			
	4,185,629	1/29/80	Cullis et al.			
	4,227,420	10/14/80	Lamadrid			
	4,231,366	11/04/80	Schael			
	4,263,808	4/28/81	Bellotti et al.			
	4,285,464	8/25/81	Latham, Jr.			
	4,370,983	2/01/83	Lichenstein	-		
	4,385,630	5/31/83	Gilcher et al.			
	4,425,114	1/10/84	Schoendorfer et al.			
	4,436,620	3/13/84	Bellotti et al.			
	4,468,219	8/28/84	George et al.			
	4,479,761	10/30/84	Bilstad et al.			
	4,501,531	2/26/85	Bilstad et al.			
	4,637,813	1/20/87	DeVries			
	4,657,529	4/14/87	Prince et al.			
	4,661,246	4/28/87	Ash			
	4,710,164	12/01/87	Levin et al.			
	4,718,891	1/12/88	Lipps			

EXAMINER

DATE CONSIDERED

Form PTO 1449		
ATTY DOCKET NO. 109-03	SERIAL NO. 10/681,035	FILING DATE Oct. 08, 2003
APPLICANT Felt et al.	CONFIRMATION NO. 2719	GROUP 3761

4,739,492	4/19/88	Ca-h		ł	
	-1/10/00	Cochran			
4,798,090	1/17/89	Heath <i>et al.</i>			
4,828,543	5/09/89	Weiss et al.			
4,850,998	7/25/89	Schoendorfer			
4,883,462	11/28/89	Williamson et al.			
4,911,703	3/27/90	Lysaght et al.			
4,923,439	5/08/90	Seidel et al.			
5,069,792	12/03/91	Prince et al.			
5,120,303	6/09/92	Hombrouckx			
5,171,212	12/15/92	Buck et al.			
5,174,894	12/29/92	Ohsawa et al.			
5,178,603	1/12/93	Prince			
5,186,431	2/16/93	Tamari			
5,200,090	4/06/93	Ford et al.			
5,370,123	12/06/94	Shinzato			
5,372,709	12/13/94	Hood			·
5,431,811	7/11/95	Tusini et al.			
5,437,624	8/01/95	Langley			
5,487,827	1/30/96	Peterson et al.			
5,499,648	3/19/96	Powell et al.	·		
5,501,665	3/26/96	Jhuboo et al.			
5,536,237	7/16/96	Prince et al.			
5,555,910	9/17/96	Powell et al.			
5,618,441	4/08/97	Rosa et al.			
5,647,984	7/15/97	Hovland et al.			
5,653,887	8/05/97	Wahl et al.			
5,674,173	10/07/97	Hlavinka et al.			
5,690,831	11/25/97	Kenley et al.			
5,711,883	1/27/98	Folden et al.			

EXAMINER

DATE CONSIDERED

Form PTO 1449		
ATTY DOCKET NO. 109-03	SERIAL NO. 10/681,035	FILING DATE Oct. 08, 2003
APPLICANT Felt et al.	CONFIRMATION NO. 2719	GROUP 3761

	5,762,805	6/09/98	Truitt et al.			
	5,776,345	7/07/98	Truitt et al.	210	645	6/07/95
	5,795,317	8/18/98	Brierton et al.	604	5	6/07/95
	5,806,553	9/15/98	Sidwell			
	5,827,223	10/27/98	Butterfield			
1	5,837,150	11/17/98	Langley et al.			
	5,906,570	5/25/99	Langley et al.			
	5,906,589	5/25/99	Gordon et al.			
	5,910,252	6/08/99	Truitt et al.			
	5,913,768	6/22/99	Langley et al.			
	5,919,154	7/06/99	Toavs et al.			
-	5,921,950	7/13/99	Toavs et al.			
	5,941,842	8/24/99	Steele et al.			
	5,954,971	9/21/99	Pages et al.			
	5,992,449	11/30/99	Sprague			
	6,033,561	3/07/00	Schoendorfer			
	6,053,856	4/25/00	Hlavinka			
	6,585,675	7/01/03	O'Mahony et al.			
·	6,613,009	9/02/03	Bainbridge et al.			

FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Subclass	Translation Yes/No
EP 0834329	4/08/98	EP	A61M		YES
WO 00/12991	3/09/00	PCT			

EXAMINER

DATE CONSIDERED

Form PTO 1449		
ATTY DOCKET NO. 109-03	SERIAL NO. 10/681,035	FILING DATE Oct. 08, 2003
APPLICANT Felt et al.	CONFIRMATION NO. 2719	GROUP 3761

OTHER PRIOR ART (including Author, Title, Date, Pertinent Pages, etc.)

 TRION ART (including Author, Title, Date, Fertilient Fages, etc.)
Baxter Healthcare Corporation, CS-3000 Plus Parameter Changes, Operator's Manual, Chapter 1, "Description" Chapter 4, "Warnings"; Chapter 5, Precautions"; Chapter 8, "Information for Use"; Chapter 9, "Run Procedures"; Chapter 12, "Troubleshooting", pages 12-12 through 12 -36 (undated, in use 1 year prior to filing).
COBE BCT, Inc. COBE Spectra Apheresis System, Operator's Manual, "Table of Contents"; Section 1 "Introduction"; Section 4A, "Platelet Dual-Needle Operation"; Section 9, "Diagnostics" (1991)
European Search Report for corresponding foreign application No.: 00311669.6 dated July 21, 2003.
Fresenius Operation Manual, Fresenius MT AS 104 Blood Cell Separator, Section 3 "Safety and Alarm Circuits: Section 4, "Remedies in Case of Alarm or Error Conditions; Section 6, "Definitions and Terms"; Section 7, "Tablesand Figures" (1990).
Schoendorfer, D.W.; "Automation in Apheresis" pp. 129-146 (undated, in use 1 year prior to filing).

EXAMINER

DATE CONSIDERED